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UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/893,789	06/29/2001	Marcos Nogueira Novaes	YOR920010315US1	4577

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EXAMINER
LY, ANH

ART UNIT	PAPER NUMBER
2162	

DATE MAILED: 01/13/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

	Application No.	Applicant(s)
Office Action Summary	09/893,789	NOVAES, MARCOS NOGUEIRA
	Examiner	Art Unit	
	Anh Ly	2162	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) FROM
THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on <u>25 October 2004</u>.

2a)☐ This action is **FINAL**.   2b)☒ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is
closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) <u>1-17,22-39,44,45 and 47</u> is/are pending in the application.

   4a) Of the above claim(s) <u>18-21,40-43 and 46</u> is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) <u>1-17,22-39,44,45 and 47</u> is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☒ The drawing(s) filed on <u>19 September 2001</u> is/are: a)☒ accepted or b)☐ objected to by the Examiner.

   Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

   Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   a)☐ All   b)☐ Some * c)☐ None of:

   1.☐ Certified copies of the priority documents have been received.

   2.☐ Certified copies of the priority documents have been received in Application No. _____.

   3.☐ Copies of the certified copies of the priority documents have been received in this National Stage
       application from the International Bureau (PCT Rule 17.2(a)).

   * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1)☒ Notice of References Cited (PTO-892)

2)☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)

3)☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
    Paper No(s)/Mail Date <u>4/22/04 & 9/27/04</u>.

4)☐ Interview Summary (PTO-413)
    Paper No(s)/Mail Date. _____ .

5)☐ Notice of Informal Patent Application (PTO-152)

6)☐ Other: _____.

DETAILED ACTION

1. This Office Action is response to Applicant's response to 1st Office Action &

Restriction Requirement filed on 10/25/2004. Applicant selected Group I, which is

including claims 1-17, 22, 23-39, 44, 45 and 47, without traverse.

2. Claims 1-17, 22, 23-39, 44, 45 and 47 are pending in this application.

Claim Rejections - 35 USC § 101

3. 35 U.S.C. 101 reads as follows:

> Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

the claimed invention is directed to non-statutory subject matter. The claim 1, 22, 23,

44, 45, & 47 have non-technical language in the body of claims, that is, they are

implemented without the use of computer system.

Claim Rejections - 35 USC § 102

4.   The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that

form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5.   Claims 1-17, 22, 23-39, 44, 45 and 47 are rejected under 35 U.S.C. 102(e) as

being anticipated by US Patent No. 6,233,571 issued to Egger et al. (hereinafter Egger).

With respect to claim 1, Egger teaches constructing a N-dimensional coordinate

space, wherein N is a cardinality of the collection of subject words (a collaborative Web

research method comprising organizing a plurality of documents: col. 12, lines 40-45 in

a N-dimensional space: col. 18, lines 32-40, based on a collection of subject of words:

col. 5, lines 45-48 and col. 16, lines 4-12).

With respect to claim 2, Egger teaches traversing data block links leading to

discovery of cross-subject affinities (traversing of document links in N-dimensional

space with N is the number of subject word: col. 12, lines 40-62 and col. 13, lines 5-40).

With respect to claim 3, Egger teaches determining a closeness of any two data

blocks in said database (the sum of distances of the distance relationship of two point is

defined as affities in Euclidean distance: col. 18, lines 32-45 and determining a

closeness: col. 50, lines 24-27).

With respect to claim 4, Egger teaches wherein said determining is performed according to an equation comprising where D is a data block and pl, 172 are points in the N-dimensional space and S is a summation (In non-Euclidean distance of two points p1 and p2 in hyperspace and D is a Euclidean distance between two points: col. 18, lines 32-45).

With respect to claim 5, Egger teaches wherein affine documents are determined to be in closer proximity than non-affine documents in a mapping to N-space coordinates (two points in a hyperspace having a distance D in a Euclidean distance: col. 18, lines 32-45).

With respect to claim 6, Egger teaches wherein all dimensions of said N-dimension coordinate space are considered (a N-dimensional space of a web research system having a plurality of documents or pages; col. 12, lines 40-45 and col. 18, lines 32-40).

With respect to claim 7, Egger teaches wherein said data blocks comprise documents, said method further comprising building a term-by-document matrix and using all of the terms in N-dimensions in the coordinate space (web provider Yahoo is defined using term-by-document technique for indexing documents: col. 49, lines 15-25).

With respect to claim 8, Egger teaches utilizing a column term in the term-by-document matrix as a vector (col. 49, lines 12-30)

With respect to claim 9, Egger teaches measuring a distance function between data blocks, wherein said distance function is representative of an affinity between two data blocks (col. 12, lines 63-67 and col. 13, lines 1-5).

With respect to claim 10, Egger teaches building a proximity list for each data block (fig. 4B and col. 24, lines 49-51).

With respect to claim 11, Egger teaches navigating through data blocks based on a content of said data blocks, said navigating being performed by selectively moving from one page to another without traversing a hypertext link (col. 48, lines 46-62).

With respect to claim 12, Egger teaches wherein said data blocks comprise any of Web pages, images, and database entries indexed such that each data block resides in a specific point in the N-dimensional coordinate space, and wherein a placement of the data blocks in the coordinate space is performed such that data blocks which are relatively closer to each other are related to a same subject (col. 12, lines 40-45).

With respect to claim 13, Egger teaches wherein the proximity list is ordered in ascending order of proximity, with a closest point being listed first (proximity indexing method to get order of the list: col. 13, lines 40-50).

With respect to claim 14, Egger teaches reordering the proximity list by changing a coordinate of a current location. (col. 13, lines 50-62).

With respect to claim 15, Egger teaches wherein the proximity list is changed when a current position is changed to a position of a visited data block (col. 13, lines 40-67).

With respect to claim 16, Egger teaches wherein a user selectively follows one of a link from a data block and follows an item in the proximity list, to navigate independently of links found in other data blocks (col. 15, lines 50-67 and col. 16, lines 12-35).

With respect to claim 17, Egger teaches wherein said data blocks are selectively traversable by using hypertext links and by not using hypertext links (col. 48, lines 46-62).

With respect to claim 22, Egger teaches constructing a coordinate system (a collaborative Web research including a plurality of documents in a N-dimensional space with a collection of subject words: col. 12, lines 40-45, col. 18, lines 32-40 and col. 5, lines 45-48 and col. 16, lines 4-12); and

mapping documents of said database into the coordinate system to determine a physical closeness of first and second documents of said database (the page or document or web page are determined by user: col. 6, lines 6-25, and mapping the coordinates into a space: col. 28, lines 2-5 and col. 6, lines 6-50).

Claim 23 is essentially the same as claim 1 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 1 hereinabove.

Claim 24 is essentially the same as claim 2 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 2 hereinabove.

Claim 25 is essentially the same as claim 3 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 3 hereinabove.

Claim 26 is essentially the same as claim 4 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 4 hereinabove.

Claim 27 is essentially the same as claim 5 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 5 hereinabove.

Claim 28 is essentially the same as claim 6 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 6 hereinabove.

Claim 29 is essentially the same as claim 7 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 7 hereinabove.

Claim 30 is essentially the same as claim 8 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 8 hereinabove.

Claim 31 is essentially the same as claim 9 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 9 hereinabove.

Claim 32 is essentially the same as claim 10 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 10 hereinabove.

Claim 33 is essentially the same as claim 11 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 11 hereinabove.

Claim 34 is essentially the same as claim 12 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 12 hereinabove.

Claim 35 is essentially the same as claim 13 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 13 hereinabove.

Claim 36 is essentially the same as claim 14 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 14 hereinabove.

Claim 37 is essentially the same as claim 15 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 15 hereinabove.

Claim 38 is essentially the same as claim 16 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 16 hereinabove.

Claim 39 is essentially the same as claim 17 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 17 hereinabove.

Claim 44 is essentially the same as claim 22 except that it is directed to a system rather than a method, and is rejected for the same reason as applied to the claim 22 hereinabove.

Claim 45 is essentially the same as claim 1 except that it is directed to a signal-bearing medium rather than a method, and is rejected for the same reason as applied to the claim 1 hereinabove.

Claim 47 is essentially the same as claim 1 except that it is directed to a signal-bearing medium rather than a method, and is rejected for the same reason as applied to the claim 22 hereinabove.

Contact Information

6.   Any inquiry concerning this communication or earlier communications from the
examiner should be directed to Anh Ly whose telephone number is (571) 272-4039 or
via E-Mail: ANH.LY@USPTO.GOV **or fax to (571) 273-4039**. The examiner can
normally be reached on TUESDAY – THURSDAY from 8:30 AM – 3:30 PM.

      If attempts to reach the examiner by telephone are unsuccessful, the examiner's
supervisor, John Breene, can be reached on (571) 272-4107 or Primary Examiner Jean
Corrielus (571) 272-4032.

      Any response to this action should be mailed to:

      Commissioner of Patents and Trademarks

      Washington, D.C. 20231

      or faxed to: Central Fax Center (703) 872-9306

ANH LY/
DEC. 21st, 2004

JEAN M. CORRIELUS
PRIMARY EXAMINER